

Risk on a Page

Risk Title : Pupil attainment and achievement

Risk ID : 94

Description : If pupils do not receive a very good education then they will not achieve the right qualifications and skills to take advantage of the Swansea Bay City Deal and contribute effectively to the economic prosperity of the city.

Risk Level : Corporate

Responsible Officer : Helen.Morgan-Rees

Councillor : Robert Smith

Last Update : 24/08/2022

Historical RAG :	Sep-21	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug-22
		AMBER			AMBER	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER	AMBER

Current Control Measures

External regulation by Estyn remains in place with three remit visits conducted since the start of the pandemic in 2020, two evaluations of schools in follow-up and two pilot inspections planned in February 2022, Schools are aware that inspections are due to commence in the summer term of 2022 and know that their own self-evaluation and setting of priorities are required by Estyn to demonstrate continual improvement in providing good quality learning, broad curriculum opportunities and high standards of teaching. The Estyn framework supports the definition of good quality education in schools and supports the mitigation of a bad education where too many barriers to learning such as low attendance, high exclusion rates, poor behaviour and insufficient support for vulnerable learners, including those needing additional learning provision (ALP) remain in place.

	Last Update	Risk Response	Projected Completion
	24/08/2022	Treat	31/10/2024

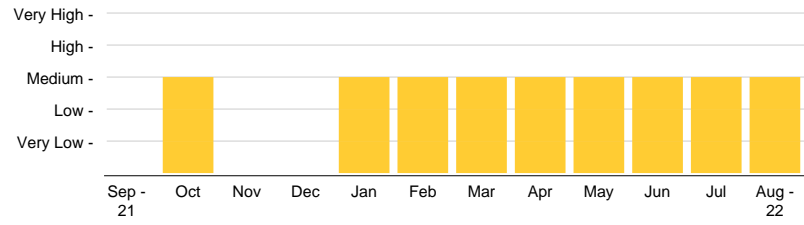
Termly monitoring and evaluation helps to mitigate against a narrow curriculum, poor quality teaching and weak school leadership. Termly reports are quality assured by the lead school improvement officer. School improvement advisers support and challenge schools to ensure learners' potential is maximised. Progress on each school's priorities to improve outcomes for learners is examined thoroughly as well as the school's evaluation of its own performance. Where schools' capacity to self-improve (without intervention) is compromised, more intense support packages are agreed with precise action plans. The statutory function of monitoring and evaluation helps mitigate the risk of poor quality provision for pupils. In addition, a new school profiler is in development and will be utilised fully during academic year 2021-2022 to identify schools that require the most support. Monthly schools issues meeting are held and will be chaired by Head of Achievement and Partnership.

	24/08/2022	Treat	31/10/2023
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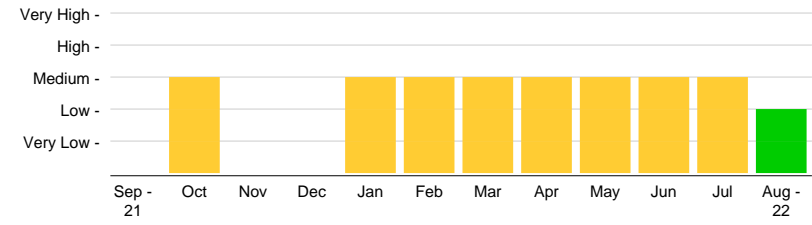
Since January 2022, school and provider inspections have re-commenced after a two year pause. To date, three pilot inspections have been conducted with one published. The full inspection regime will commence in the summer term 2022 and will provide useful external regulation. Inspection outcomes across five inspection areas are closely assessed. However, the close monitoring, support and intervention of schools provides good internal control. A school profiler is in operation to support early identification of schools requiring more support than others.

	23/08/2022	Treat	31/03/2023
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Historical Impact : **Medium**



Historical Likelihood : **Low**



Risk on a Page

Risk Title : Band B - Resourcing of the delivery of the Strategic Outline Programme

Risk ID : 86

Description : If we do not effectively resource tasks and workstreams around our updated Strategic Outline Programme and business cases, then we risk undermining the delivery of our Band B programme and also our opportunities through Local Development Plan.
If there is insufficient capacity within the construction industry to deliver the scale of capital investment required, then delivery will be delayed.

Risk Level : Directorate

Responsible Officer : Kelly.Small

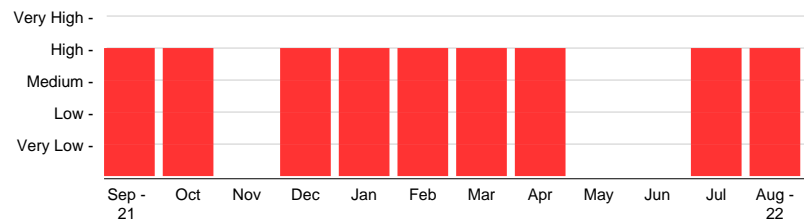
Councillor : Robert Smith

Last Update : 31/08/2022

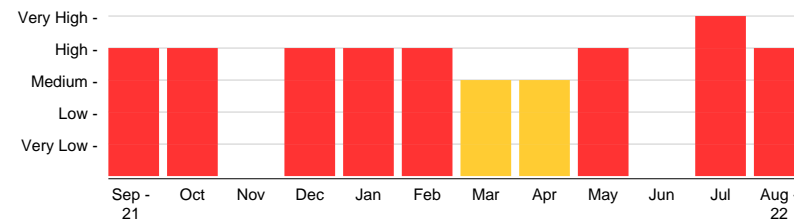
	Sep-21	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug-22
Historical RAG :	RED	RED		RED	RED	RED	RED	RED	RED		RED	RED

Current Control Measures	Last Update	Risk Response	Projected Completion
This is now impacting the Education project team, and despite several attempts to recruit we have failed. If we do not manage to backfill resources then programme delivery will be affected. An options review is underway.	31/08/2022	Treat	31/12/2022
Building Services are developing a framework for consultant appointments but this won't be implemented until the end of the calendar year. In the interim Building Services have committed to packaging some priority works eg for UFSMs, community focussed schools. A resourcing strategy for the upcoming projects is in development focussing on outsourcing.	31/08/2022	Treat	31/12/2022
Consistent Support And Commitment To Access The Appropriate Level Of Developer Contributions Through The Ldp Process Towards Our Local Contribution To Band B Programme	31/08/2022	Treat	31/03/2026
Commit to regular forward planning and early engagement with internal teams through programme governance and project initiation workshops, to aide planning and agree resourcing strategies	31/08/2022	Treat	31/03/2026
Maintain close liaison With Wg To maximise funding opportunities to support and complement impact of QEd Programme and opportunities to bring forward remaining Band B priority schemes.	31/08/2022	Treat	31/03/2026

Historical Impact : **High**



Historical Likelihood : **High**



Risk on a Page

Risk Title : Accessibility Strategy

Risk ID : 89

Description : If the plan is not updated this year then the LA is liable to Equality Act discrimination appeals or Ombudsman complaints

Risk Level : Directorate

Responsible Officer : Kelly.Small

Councillor : Robert Smith

Last Update : 09/08/2022

Historical RAG :	Sep-21	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug-22
	AMBER	AMBER		AMBER	AMBER	AMBER	AMBER	AMBER	AMBER		AMBER	

Current Control Measures

Review and update Accessibility Strategy and prepare and plan the undertaking of appropriate access audits of schools which will assist in planning investment to secure the best outcomes - requires resource capacity from ALN.

Last Update

13/07/2022

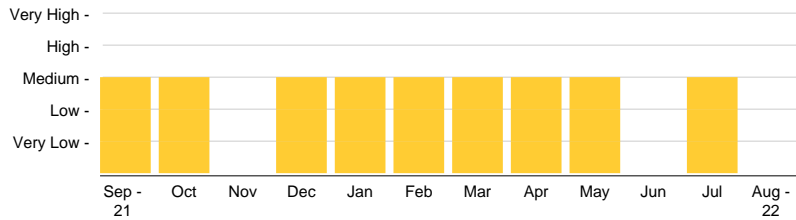
Risk Response

Treat

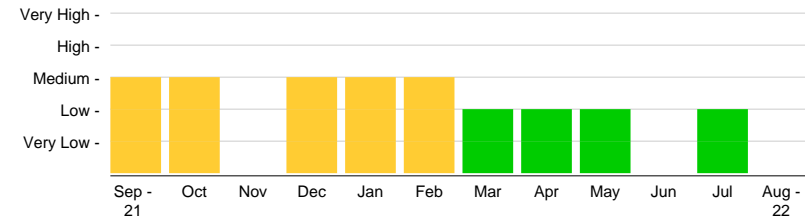
Projected Completion

31/12/2022

Historical Impact :



Historical Likelihood :



Risk on a Page

Risk Title : School Cloud Oracle Access

Risk ID : 91

Description : If schools continue to be excluded from the corporate Oracle Cloud project then there will be extra costs and compatibility issues if they need to join at a later date, and a risk that they will not be able to operate financially if the current SIMS FMS system goes down, as support for this old system is limited and will end when SIMS moves to their own cloud model. Under best value, a new procurement process needs to be undertaken for data support for schools, particularly as it is a costly service.

Risk Level : Directorate

Responsible Officer : Helen.Morgan-Rees

Councillor : Robert Smith

Last Update : 09/08/2022

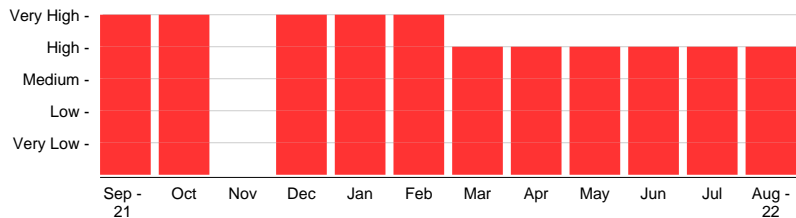
Historical RAG :	Sep-21	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug-22
	RED	RED		RED	RED	RED	RED	RED	RED	RED	RED	RED

Current Control Measures

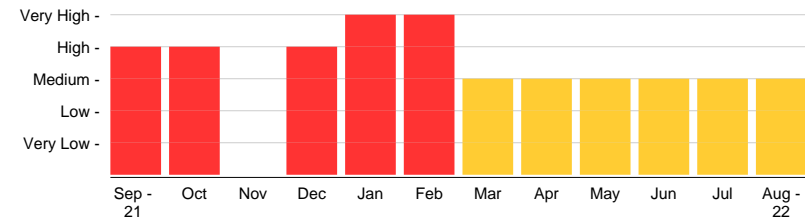
Officers in the Education Directorate continue to flag to CMT, project team dealing with Oracle, Finance etc. of the importance of including schools when scoping out the new cloud system. Continue to monitor the support given by SIMS to the existing product used by schools (SIMS FMS) as strong likelihood that support will cease.

	Last Update	Risk Response	Projected Completion
	09/08/2022	Tolerate	20/10/2022
Investigation of alternative financial management systems as alternative to SIMS	19/07/2022	Treat	01/09/2022
Continue to link with Management Systems Team on future sustainability of SIMS.	19/07/2022	Treat	01/09/2022

Historical Impact : High



Historical Likelihood : Medium



Risk on a Page

Risk Title : Band B - Programme funding risks

Risk ID : 96

Description : IF we are unable to gain approval to detailed business cases and timely access to the full funding envelope approved in principle by Welsh Government, and Swansea Council funding, THEN the investment in schools capital will not address all the identified priority needs. This would in turn impact on other Education strategies, policies and duties eg delivery of the WESP, planning of school places etc.

Risk Level : Directorate

Responsible Officer : Kelly.Small

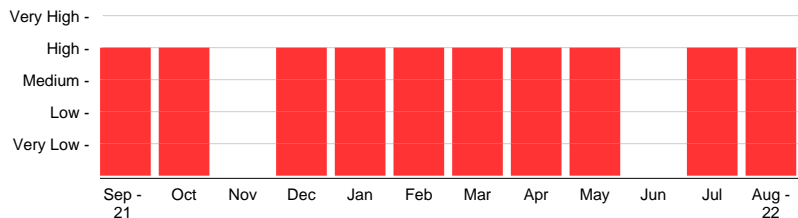
Councillor : Robert Smith

Last Update : 31/08/2022

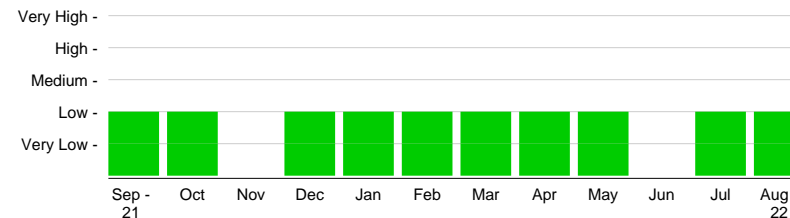
	Sep-21	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug-22
Historical RAG :	AMBER	AMBER		AMBER	AMBER	AMBER	AMBER	AMBER	AMBER		AMBER	AMBER

Current Control Measures	Last Update	Risk Response	Projected Completion
Update and discussion with CMT / Cabinet at key milestones in the programme development, securing strategic direction / buy in and support at each stage	31/08/2022	Treat	31/03/2026
Maintenance of robust governance arrangements for Band B, including monthly reporting to Board and Delivery Group.	31/08/2022	Treat	31/03/2026
At least termly engagement with WG officials. Robust business cases submitted to programme, and accompanied by funding forecast updates.	31/08/2022	Treat	31/03/2026
Evidence based county-wide strategy supported by feasibility and business cases for the next phase of priorities.	31/08/2022	Treat	31/03/2026

Historical Impact : High



Historical Likelihood : Low



Risk on a Page

Risk Title : Band B - Special School Project

Risk ID : 263

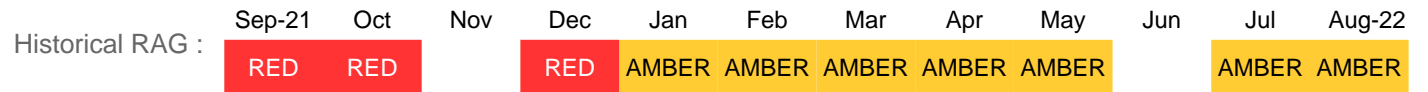
Description : IF a strategy and timeline is not established for special school provision THEN there is a risk that the authority will fail to meet its responsibilities in relation to ALN, will be unable to fully implement several elements of the ALN Strategy, and will continue to have to place an increasing number of pupils in out of county and independent provision leading to continued and increased budget overspends, and negatively impacting on pupil and family wellbeing and safeguarding.

Risk Level : Directorate

Responsible Officer : Kelly.Small

Councillor : Robert Smith

Last Update : 31/08/2022



Current Control Measures

A further Cabinet/CMT review progress is planned for September/October 2022

Last Update

31/08/2022

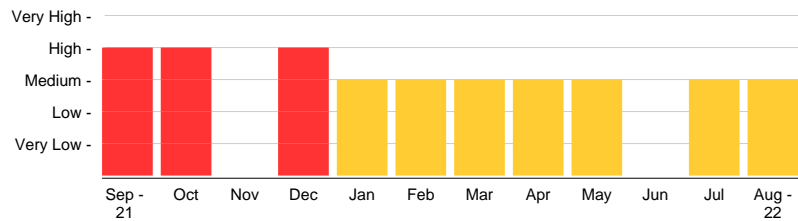
Risk Response

Treat

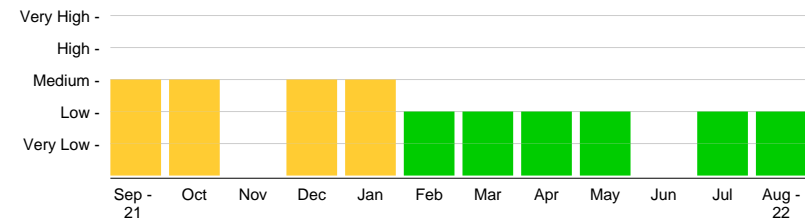
Projected Completion

31/10/2022

Historical Impact : Medium



Historical Likelihood : Low



Risk on a Page

Risk Title : Decarbonisation

Risk ID : 301

Description : IF the QEd programme is to contribute to the local and national decarbonisation strategies, then this will require additional funding or it will impact on the ability to deliver more projects within the programme.

Risk Level : Directorate

Responsible Officer : Kelly.Small

Councillor : Robert Smith

Last Update : 31/08/2022

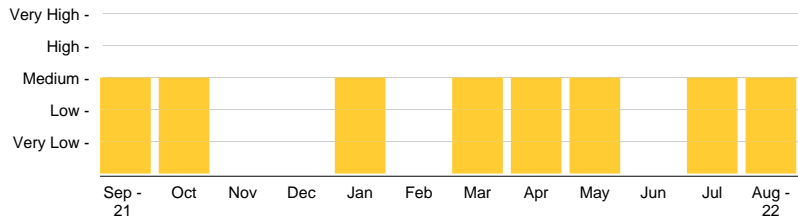
Historical RAG :	Sep-21	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug-22
	AMBER	AMBER		AMBER	AMBER	AMBER	AMBER	AMBER	AMBER		AMBER	AMBER

Current Control Measures

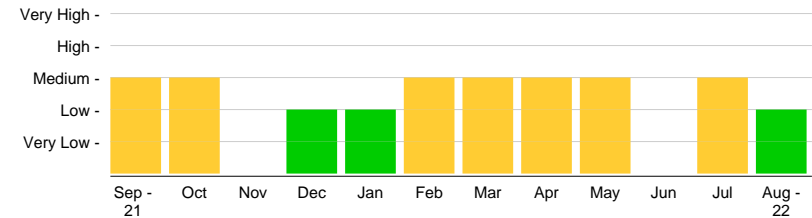
New build projects must now be delivered to NZC (operational), which will require additional funding or impact the scope of the programme. Scoping of requirements for those projects is in progress which will inform funding requirements.
 For the existing portfolio CBS are procuring technical advice to inform a phased strategy, and to optimise funding opportunities through the capital maintenance programme.

Last Update	Risk Response	Projected Completion
31/08/2022	Treat	31/12/2022

Historical Impact : **Medium**



Historical Likelihood : **Low**



Risk on a Page

Risk Title : SIMS Management Information System in Swansea Schools

Risk ID : 308

Description : The servers which currently host SIMS in the Civic Centre Data Centre are life expired, and are out of support from their manufacturer. There is a risk that any failure of these machines will impact on the availability of SIMS for schools, and also that the machines are becoming more vulnerable to cyber-attack.

Risk Level : Directorate

Responsible Officer : Mike.Jones

Councillor : Robert Smith

Last Update : 31/08/2022



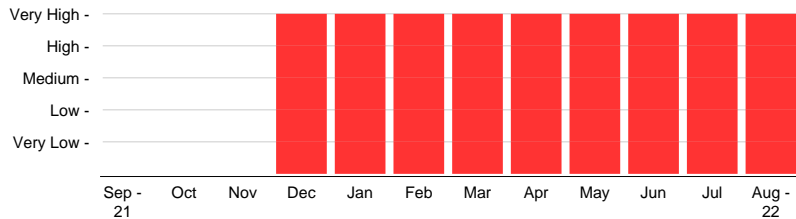
Current Control Measures

To mitigate against the possibility of the existing SIMS servers failing due to them being life-expired, a project is under way to establish a proof of concept for moving SIMS to cloud hosting in Axure. We are still waiting for ESS to provide their hosting agreement, which may determine how we can proceed.

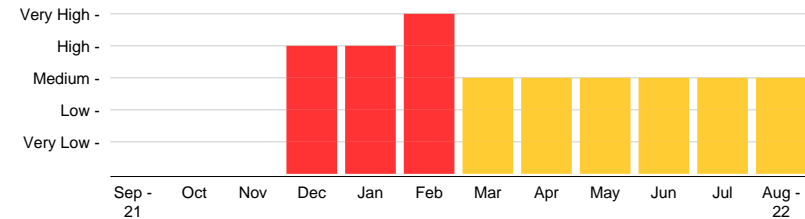
Last Update	Risk Response	Projected Completion
31/08/2022	Treat	01/04/2023
22/08/2022	Treat	02/10/2022

Agreed 22 Aug 22 that a meeting between Education and Digital Services will be convened asap to agree a way forward for SIMS hosting.

Historical Impact : Very High



Historical Likelihood : Medium



Risk on a Page

Risk Title : Local health board

Risk ID : 311

Description : If the local health board is ill-equipped to manage their responsibilities as set out in the ALNET legislation it will result in increased Tribunal appeals, workload and costs to the LA.

Risk Level : Directorate

Responsible Officer : Alison.Lane2

Councillor : Robert Smith

Last Update : 31/08/2022



Current Control Measures

To maintain close working relationships with LHB colleagues to develop interim arrangements to meet the demands placed upon them.

Last Update

25/07/2022

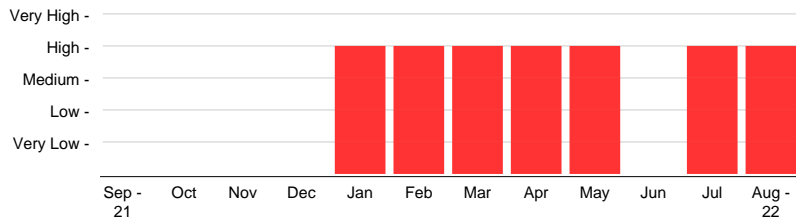
Risk Response

Treat

Projected Completion

01/09/2022

Historical Impact : High



Historical Likelihood : High

